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September 23, 2004 DATE:

UNITED STATES PATENT AND TRADEMARK OFFICE

TO: ART UNIT 3624

Andrew T. Spence Reg. No. 45,699

In re:

Title:

Mathews et al.

Confirmation No. 9288

Appl. No. 09/902,021

Art Unit: 3624 Examiner: Narayanswamy Subramanian

July 10, 2001 Filed

SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR PERFORMING A GENERALIZED

CONTINGENT CLAIM VALUATION

At the request of Examiner Subramanian, please process the attached two previously-filed PTO 1449 forms (2 pages) with two cited articles (10 pages and 2 pages), and fax confirmation of receipt to Andrew T. Spence at 704-444-1111. Thank you.

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CLT01/4-487248v1



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		Application Number	July 10, 2001	
		Filing Date	Mathews et al.	
NFORMATION DISCLOSURE STATEMENT BY APPLICANT		First Named Inventor	3624	
		Group Art Unit	Geoffiey R. Akers	
		Examiner Name		
	1_1_	Attorney Docket Number		
Freducide	name of the suit	OTHER DOCUMENTS	of the article (when appropriate), title of on, cts.), date, page(s) , volume-issue	English Language Trunslation Ausched
cominer Cite number	(s), publisher, cit	o journal, serial, symposium, y and/or country where published.	s 481 – 487, 406 – 407, 642 (10 pages);	
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